

J1060 U.S. PTO
03/15/023-18-02
AUTILITY
PATENT APPLICATION
TRANSMITTAL

Attorney Docket No.: SC11360TP P01
 First Inventor: Bich-Yen Nguyen et al.
 Title: HIGH K DIELECTRIC FILM AND METHOD FOR MAKING
 Express Mail Label No.: EL721537505US

1017 U.S. P10
10/09/94

03/15/02

(Only for new nonprovisional applications under 37 CFR 1.53(b))

APPLICATION ELEMENTS

(see MPEP chapter 600 concerning utility patent application contents)

ADDRESS TO:

BOX: New Patent Application
Commissioner for Patents
Washington, D.C. 20231

1. <input checked="" type="checkbox"/> Fee Transmittal Form in duplicate <small>(Submit an original and a duplicate for fee processing)</small>	7. <input type="checkbox"/> CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
2. <input type="checkbox"/> Applicant claims small entity status <small>See 37 CFR 1.27</small>	8. <input type="checkbox"/> Nucleotide and/or Amino Acid Sequence <small>(if applicable, all necessary)</small>
3. <input checked="" type="checkbox"/> Specification Total Pages 26 <small>(preferred arrangement set forth below)</small> <ul style="list-style-type: none"> -Descriptive title of the invention -Cross Reference to Related Applications -Statement Regarding Fed sponsored R&D -Reference to sequence listing, a table, -Background of the Invention -Brief Summary of the Invention -Brief Description of the Drawings (if filed) -Detailed Description -Claim(s) -Abstract of the Disclosure 	a. <input type="checkbox"/> Computer Readable Form (CFR) b. <input type="checkbox"/> Specification Sequence Listing on: <ul style="list-style-type: none"> i. <input type="checkbox"/> CD-ROM or CD-4 (2 copies); ii. <input type="checkbox"/> or paper c. <input type="checkbox"/> Statements verifying identify of above copies
ACCOMPANYING APPLICATION PARTS	
9. <input checked="" type="checkbox"/> Assignment Papers (cover sheet & document(s))	
10. <input type="checkbox"/> 37 CFR 3.73(b) <input type="checkbox"/> Power of Attorney Statement (when there is an assignee)	
11. <input type="checkbox"/> English Translation Document (if applicable)	
12. <input checked="" type="checkbox"/> IDS <input type="checkbox"/> 11 Copies of IDS Citations	
13. <input checked="" type="checkbox"/> Preliminary Amendment	
14. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503)	
15. <input type="checkbox"/> Certified Copy of Priority Document	
16. <input type="checkbox"/> Nonpublication Request under 35 U.S.C. 122(b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.	
17. <input type="checkbox"/> Other: _____ _____ _____	

18. IF A CONTINUING APPLICATION, check appropriate box and supply the requisite information below and in a preliminary amendment:

Continuation Divisional Continuation-in- Part (CIP) Prior Appl. No. **09/811,656**

Prior Appl. information: Examiner: **Dung Le** Group/Art Unit: **2818**

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

19. CORRESPONDENCE ADDRESS

<input checked="" type="checkbox"/> Customer Number or Bar Code Label	23125	<input type="checkbox"/> or	<input type="checkbox"/> Correspondence address below
Name			
Address			
City	State	Zip Code	
Country	Telephone	Fax	
Name	James L. Clingan, Jr.	Registration No.	30,163
SIGNATURE	<i>James L. Clingan, Jr.</i>		
	Date	3/15/02	

**FEE
TRANSMITTAL**

Patent fees are subject to annual revision

TOTAL AMOUNT OF PAYMENT **(S) 1398**

<i>Complete if Known</i>	
Application Number	
Filing Date	
First Named Inventor	Bich-Yen Nguyen
Examiner Name	
Group Art Unit	
Attorney Docket No.	SC11360TP P01

METHOD OF PAYMENT

1. The Commissioner is hereby authorized to charge indicated fees and credit any overpayment to:

Deposit Account Number

13-4773
Motorola, Inc.

Deposit Account Name

Charge Any Additional Fee required under 37 CFR 1.16 and 1.17

Applicant claims small entity status. See 37 CFR 1.27

2. Payment Enclosed:

Check Credit Card Money Order Other

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity	Small Entity			
Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description
105	130	205	65	Surcharge - late filing fee or oath
127	50	227	25	Surcharge - late Provisional filing
139	130	139	130	Non-English specification
147	2520	147	2520	For filing a request for ex parte Reexamination
112	920*	112	920*	Requesting publication of SIR prior to Examiner action
113	1840*	113	1840*	Requesting publication of SIR after Examiner action
115	110	215	55	Extension for reply within first month
116	400	216	200	Extension for reply within second month
117	920	217	460	Extension for reply within third month
118	1440	218	720	Extension for reply within fourth month
128	1960	228	980	Extension for reply within fifth month
119	320	219	160	Notice of Appeal
120	320	220	160	Filing a brief in support of an appeal
121	280	221	140	Request for oral hearing
				Petition to institute a public use proceeding
138	1510	138	1510	Petition to revive - unavoidable
140	110	240	55	Petition to revive - unintentional
141	1280	241	640	Utility issue fee (or reissue)
142	1280	242	640	Design issue fee
143	460	243	230	Plant issue fee
144	620	244	310	Petitions to the Commissioner
122	130	122	130	Processing fee under 37 CFR 1.17(q)
123	50	123	50	Submission of IDS
126	180	126	180	
581	40	581	40	
				40
Total Claims	45	-	20	= 25
Independent Claims	5	-	3	= 2
		X	18	= 450
		X	84	= 168
Multiple Dependent		280	=	
Previously Paid**		Extra Claims		Fee from below
Total				
Large Entity Fee Code (\$)	Entity Fee Code (\$)			Fee Description
103 18 203 9				Claims in excess of 20
102 84 202 42				Independent claims in excess of 3
104 280 204 140				Multiple dependent claim, if not paid
109 84 209 42				* Reissue independent claims over original patent
110 18 210 9				*Reissue claims in excess of 20 and over original patent
				SUBTOTAL (2) (\$ 618)
				* Reduced by Basic Filing Fee paid
				SUBTOTAL (3) (\$ 40)

**OR NUMBER PREVIOUSLY PAID, IF GREATER THAN STANDARD ALLOWANCE.

*For Reissues, see above

SUBMITTED BY

Name (Print/Type) James L. Clingan, Jr.

Signature *James L. Clingan, Jr.*

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Date 3/15/02